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199.40032X00  
15-0257

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicants: Kenneth W. AULL et al JAN 15 2004  
Serial No.: 10/027,622 Technology Center 2100  
Filed: December 19, 2001  
For: ASSIGNMENT OF USER CERTIFICATES/PRIVATE KEYS  
IN TOKEN ENABLED PUBLIC KEY INFRASTRUCTURE  
SYSTEM  
Group: 2131  
Examiner: To Be Assigned

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97 AND §1.98**

Commissioner for Patents  
P.O. Box 145  
Alexandria, VA 22313-1450

January 13, 2004

Sir:

In the matter of the above-identified application, Applicants are submitting herewith a copy of a communication from a foreign patent office in a counterpart foreign application and copies of the documents listed in the attached form PTO/SB/08A form for the Examiner's consideration.

This Information Disclosure Statement is being submitted before the mailing date of a first office action on the merits.

Each of the documents listed on the attached PTO/SB/09A form is in the English language, except French Patent 2 684 165.

To the extent the documents listed on the attached PTO/SB/08A form are not in the English language, the requirement of 37 C.F.R. §1.98(a)(3) for a concise explanation of the relevance is satisfied by an English language version or translation of the foreign patent office report citing the documents.

It is respectfully requested that this Information Disclosure Statement be considered by the Examiner.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 01-2135 (199.40032X00) and please credit any excess fees to such Deposit Account.

Respectfully submitted,

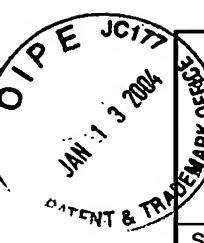
ANTONELLI, TERRY, STOUT & KRAUS, LLP

  
\_\_\_\_\_  
Donald E. Stout  
Registration No. 26,422  
(703) 312-6600

Attachments

DES:dlh

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<p>Substitute for form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p>				<p><b>Complete if Known</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; padding: 2px;"><b>Application Number</b></td> <td style="width: 50%; padding: 2px;">10/027,622</td> </tr> <tr> <td style="padding: 2px;"><b>Filing Date</b></td> <td style="padding: 2px;">December 19, 2001</td> </tr> <tr> <td style="padding: 2px;"><b>First Named Inventor</b></td> <td style="padding: 2px;">Kenneth W. AULL et al</td> </tr> <tr> <td style="padding: 2px;"><b>Art Unit</b></td> <td style="padding: 2px;">2131</td> </tr> <tr> <td style="padding: 2px;"><b>Examiner Name</b></td> <td style="padding: 2px;"><b>To Be Assigned</b></td> </tr> <tr> <td colspan="2" style="padding: 2px;"><b>Attorney Docket Number</b></td> </tr> </table>		<b>Application Number</b>	10/027,622	<b>Filing Date</b>	December 19, 2001	<b>First Named Inventor</b>	Kenneth W. AULL et al	<b>Art Unit</b>	2131	<b>Examiner Name</b>	<b>To Be Assigned</b>	<b>Attorney Docket Number</b>	
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Sheet	1	of	2	<p>199.40032X00</p>													

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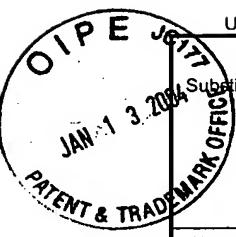
## Technology Center 2100

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

Sheet

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Sheet 2 of

<i>Complete if Known</i>	
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#### OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS

JAN 15 2004

## Technology Center 2100

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